



**Annual Regulatory Program 2013**  
**Special Briefing on Regulatory & Dodd-Frank's Impact**  
**on California's Financial Institutions**  
**The California Club**  
 538 South Flower Street, Los Angeles, CA 90071

**Thursday, April 11, 2013**

Time	Event/Presentation	Presenters
8:15 a.m. – 8:30 a.m.	<b>Registration and Networking</b>	Continental breakfast will be served
8:30 a.m. – 8:45 a.m.	<b>Welcome &amp; Opening Remarks</b>	<b>Mr. Walter J. Mix III</b> , Chair of IBAC and Director/Financial Services Practice Leader, Berkeley Research Group LLC (BRG) <b>Mr. Benjamin Lin</b> , President of NACAB and President & Chief Executive Officer, American Plus Bank
8:45 a.m. – 9:30 a.m.	<b>Federal Reserve Regulatory Update</b>	<b>Mr. Jack P. Jennings</b> , Senior Associate Director, Board of Governors of the Federal Reserve System, Washington, D.C.
9:30 a.m. – 10:30 a.m.	<b>How Dodd-Frank Impacts Your Strategy – The Bankers View</b>	Moderator: <b>Mr. Walter J. Mix III</b> , Chair of IBAC and Director/Financial Services Practice Leader, Berkeley Research Group LLC (BRG) <b>Mr. Doug Krause</b> , Chief Risk Officer and General Counsel, East West Bank <b>Ms. Sally Hazen</b> , Senior Vice President, Union Bank <b>Mr. James McWalters</b> , General Manager, DBS Bank <b>Mr. Noor Menai</b> , Chief Executive Officer and President, Chinatrust Bank
10:30 a.m. – 10:45 a.m.	<b>Break</b>	Networking
10:45 a.m. – 11:15 a.m.	<b>Washington Update</b>	<b>Ms. Sally Miller</b> , Chief Executive Officer, Institute of International Bankers (IIB), New York
11:15 a.m. – 12:15 p.m.	<b>Bank M&amp;A: What to Expect Next</b>	Moderator: <b>Mr. Henry Fields</b> , Partner, Morrison & Foerster LLP Sandler O'Neil <b>Mr. Joseph N. Gulash</b> , Managing Director, Keefe, Bruyette & Woods, Inc. <b>Ms. Kathleen Smythe</b> , Managing Director, Sandler O'Neil & Partners, L.P. <b>Mr. Nick Unkovic</b> , Partner, Squire Sanders (US) LLP
12:15 p.m. – 2:00 p.m.	<b>Luncheon Speaker: Banking Trends</b>	Mr. Gary Palmer, Senior Manager, Federal Reserve Bank of San Francisco.
2:00 p.m. – 2:15 p.m.	<b>Break</b>	Networking
2:15 p.m. – 3:15 p.m.	<b>Ask the Examiners</b>  This panel will provide an opportunity for attendees to engage in an open dialogue with bank examiners on issues of current concern.	Moderator: <b>Mr. David E. Abshier</b> , Director, Berkeley Research Group LLC Panel: <b>Mr. Lawrence Carter</b> , Assistant Deputy Comptroller, Office of the Comptroller of the Currency <b>Mr. Robert McGibbon</b> , Field Supervisor, Federal Deposit Insurance Corporation <b>Mr. John Ross</b> , Deputy Commissioner, Division of Examinations, Southern California Region, Department of Financial Institutions <b>Mr. Richard Shershenovich</b> , Principal, Federal Reserve Bank of San Francisco
3:15 p.m.	<b>Adjournment</b>	



## REGISTRATION

# Annual Regulatory Program 2013

## THURSDAY, APRIL 11, 2013

The California Club

538 South Flower Street, Los Angeles, CA 90071

8:30 a.m. – 3:30 p.m.

**Registration: Special Price for IBAC & NACAB attendees \$135.00 per person Non-Members \$195.00**

No-Host Parking \$9 (payable with registration)

**The California Club Dress Code:** Mandatory Business Attire (jackets/ties for men)

**By mail:** IBAC c/o Linda Cain, 1430 South Grand Avenue, #256, Glendora, CA 91724

**By telephone:** 626-974-5429 **By email:** IBACoffice@aol.com **By fax:** 626-974-5439

Reservations can be made by phone, fax, email, or U.S. mail. Note: Our events sometimes fill to capacity, therefore, pre-registration is very helpful. You can also register at [www.IBACAL.org](http://www.IBACAL.org). No refund of payment unless cancellation is received by IBAC before Tuesday, April 9, 2013 by noon. Walk-in registrants will be accommodated as space permits. Payments expected by 4/11/2013.

Number of Registrations: \_\_\_\_\_

Total Enclosed: \$ \_\_\_\_\_

Name: \_\_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Title: \_\_\_\_\_

Company: \_\_\_\_\_

Telephone: \_\_\_\_\_

**Payment options:** \_\_\_ **Company check** \_\_\_ **Cash payment on site** \_\_\_ **Credit card payment**

Credit Card Type \_\_\_ VISA \_\_\_ MC Credit Card # \_\_\_\_\_

Exp. Date \_\_\_\_\_ Name on Card \_\_\_\_\_ Signature \_\_\_\_\_

For further information on this program, please contact the IBAC office at 626-974-5429 or our Administrator, Ms. Linda Cain, at 626-252-2834 or email us at [IBACOffice@aol.com](mailto:IBACOffice@aol.com)